



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ulrike Reeh et al.                      Art Unit : 2815  
Serial No. : 09/221,789                              Examiner : Jerome Jackson, Jr.  
Filed : December 28, 1998  
Title : LIGHT-RADIATING SEMICONDUCTOR COMPONENT WITH A  
LUMINESCENCE CONVERSION ELEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Copies of references AA through CC listed on the attached form PTO-1449 are not enclosed pursuant to 37 C.F.R. § 1.98(a).

Under 35 USC §120, this application relies on related applications, application serial number 09/731,220, filed on December 6, 2000, now U.S. Patent No. 6,812,500 and application serial number 09/731,452, filed on December 7, 2000, now U.S. Patent No. 6,576,930. The following references were submitted to and/or cited by the Office in the prior applications and, therefore, are not provided in this application:

Desig. IDs:

CN, DP-DS, DU-EH, and EN-FK.

12/28/2004 FMETEKI1 00000027 09221789

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Applicant : Ulrike Reeh et al.  
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Page : 2 of 2

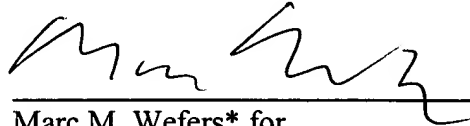
Attorney's Docket No.: 12406-003001 / 1996P1650 US  
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This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. A check for \$180 in payment of the late submission fee of C.F.R. § 1.17(p) is enclosed. Please apply any other charges or credits to Deposit Account No. 06-1050, referencing Attorney Docket No. 12406-003001.

Respectfully submitted,

Date: \_\_\_\_\_

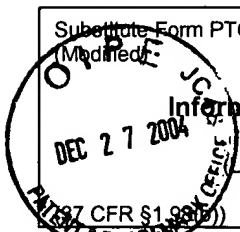
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Marc M. Wefers\* for  
David L. Feigenbaum  
Reg. No. 30,378

Fish & Richardson P.C.  
225 Franklin Street  
Boston, MA 02110-2804  
Telephone: (617) 542-5070  
Facsimile: (617) 542-8906

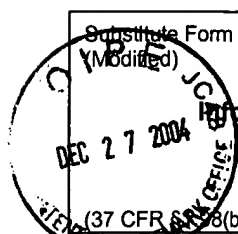
**\*See attached document certifying that Marc M. Wefers has limited recognition to practice before the U.S. Patent and Trademark Office under 37 C.F.R. § 11.9(b).**

Substitute Form PTO-1449 (Modified) 	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>12406-003001</b>	Application No. <b>09/221,789</b>
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)		Applicant <b>Ulrike Reeh et al.</b>	
		Filing Date <b>December 28, 1998</b>	Group Art Unit

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Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	3,312,851	04/1967	Flowers et al.			
	AB	3,316,109	04/1967	Rimbach			
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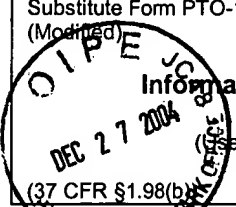
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)  Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR 1.102(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 12406-003001	Application No. 09/221,789
	Applicant Ulrike Reeh et al.			
	Filing Date December 28, 1998		Group Art Unit	

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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. <b>12406-003001</b>	Application No. <b>09/221,789</b>
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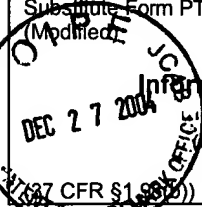
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	CD						
	CE						

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							Yes	No
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	CG	2004989	12/05/1969	France			Abstract Only	
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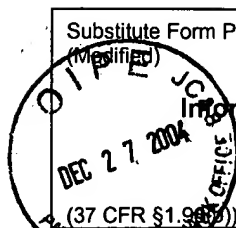
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	DI	06053554	02/25/1994	Japan			Abstract Only	
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	DN	60090680	11/06/1986	Japan				
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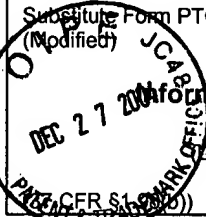
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	EJ							
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	EL	Robbins et al., "Single Crystal Y3Al5O12: Tb Phosphor Produced by Ion Implantation;," <u>Journal of the Electrochemical Society</u> , Vol. 129, No. 4, pp. 816-820
	EM	Poort et al., "Optical properties of Eu2+-activated orthosilicates and orthophosphates" <u>Journal of Alloys and Compounds</u> , Vol. 260, No. 1-2, pp. 93-97 (September 12, 1997)
	EN	Japanese Patent Abstract 08007614 (Yoshinori), dated January 12, 1996
	EO	English Translation of Proceedings of 264 <sup>th</sup> Institute of Phosphor Society, "Development and Application of high bright white LED Lamps", November 29, 1996, pp. 5-14.
	EP	Journal of the Electrochemical Society, Solid-State Science and Technology, "Preparation of Y <sub>3</sub> Al <sub>5</sub> O <sub>12</sub> Based Phosphor Powders", February 1987, pp. 493-498.
	EQ	Chao et al., "White Light Emitting Glass", Jour. Solid State Chemistry, p. 17-29.
	ER	Nakamura, SPIE, "Present performance of InGaN based blue/green/yellow LEDS", vol. 3002, 1997, pp. 26-35.
	ES	A Dictionary of Metallurgy, page 9, 1958
	ET	Japanese Internet Literature, "Preparing Nearly-Spherical Aluminate Phosphors of Uniform Size".
	EU	Course of Lectures: Fundamental and Application of Color Image Engineering, No. 5, Television Gakkaishi (The Journal of the Institute of Television Engineers of Japan), Vol. 47, 1993, p. 753-764.
	EV	R.W.G. Hunt, "Revised Colour-Appearance Model for Related and Unrelated Colours", Color Research and Application, Vol. 14, 1991 p. 146-165.

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**Other Documents (include Author, Title, Date, and Place of Publication)**

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	FK	Translation of JP 5-72553, 10/05/1993, Japan
	FL	
	FM	

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